

Scoring Breakdown for the 10 points scoring for the Sustainability & Security Section of Supplier Report Card (referred to as Supply Chain Assurance). This does **not** include the Supplier Diversity & Inclusion program scoring managed separately.

There is not a perfect correlation with Intel’s SPARC program here as there are some elements of SPARC that are not measured through this section of the performance scorecard. In addition, there are some compliance considerations that may impact a Supplier’s score in this section of the Scorecard but that may not reflect a specific SPARC program “deliverable”.

A list of the acronyms is available at the end of this table. Please see respective reference links for additional information on any of the elements listed here. All SPARC programs have information posted on [supplier.intel.com/SPARC](http://supplier.intel.com/SPARC). Links to the relevant program pages are provided below.

**Summary Table:**

Performance Category	Elements Included (where data is tracked and available)	Pts Allocated (10 Total)
On-Time Performance	<p><b>Requested Surveys or other assessments completed/responded to by due date:</b></p> <ul style="list-style-type: none"> <li>CDP Questionnaires, RBA Self-Assessments*, RBA Initial Audits*, PCDC Tool Submission, Chemical Regulatory Surveys for Ramp Readiness, Tier 1 Security Risk Assessments, PFAS Contingency Plan, Substances in Articles Plan, ODC Security Audit Completed by due date</li> </ul>	<p>≥90% PAS = 2                      ≥70%-89% PAS = 1                      &lt;70% = 0</p>
Meeting Intel Standards	<ul style="list-style-type: none"> <li><b>Quarterly snapshot: Supplier meets conformance standard across all relevant risk domains. All are considered meeting standards unless issue is identified.</b></li> <li><b>Issues that trigger a reduction in scoring include:</b> <ul style="list-style-type: none"> <li>“High” or “Very High” Risk Security Audit or Assessment Findings</li> <li>Supplier Deliverables in Security Audit/Assessment not meeting quality standard by due date</li> </ul> </li> </ul>	<p>No Non-compliance issues = 6                      One Non-Compliance issue = 3                      &gt;1 Non-Compliance issue = 0</p>

	<ul style="list-style-type: none"> <li>• Average Security Scorecard Score of D or worse (End of Quarter Snapshot of 30-day moving average)</li> <li>• Responsible Business Alliance (RBA) Audit (Any type) score &lt;180 (or does not meet other minimum standards)**</li> <li>• RBA Self-Assessment or Audit not completed by 1 Quarter of after due date</li> <li>• Responsible Minerals Sourcing/Reporting Non-Compliance</li> <li>• Supplier Deliverables in Contract Financial Terms/MFC Assessment process (start to finish) not completed in &lt;6 months (or does not execute to agreed upon audit process remediation plan)</li> <li>• CDP Questionnaire does not meet standards, including questionnaire made public</li> <li>• Chemical Regulatory Documentation not meeting minimum standards</li> <li>• <b>Reduction in Scoring will be sustained each quarter until supplier returns to conformance (or when window for mitigation is closed – e.g. CDP questionnaire window closes)</b></li> </ul>	
Gap Closing Actions	<ul style="list-style-type: none"> <li>• Closure of action items from ITERP (Information Technology Emergency Response Process) incident by assigned deadline</li> <li>• On-Time closure of Security remediation plans</li> <li>• RBA Closure Audits Scheduled On-Time</li> <li>• Fee Repayment to workers (where identified) completed by Agreed Due Date</li> <li>• Risk Management &amp; Ethics Maturity Improvement Plan On-Track vs. Forecast (Construction &amp; Facilities Suppliers only)</li> </ul>	All Closed or On-Track = 2 One or more did not meet = 0
	Total Points Allotted:	10 pts

<p>High-Risk Issue Penalty</p>	<p><b>Identified non-compliance, High-Risk issues not captured above.</b>  <b>Examples include:</b></p> <ul style="list-style-type: none"> <li>• Prompt ITERP self-reporting did not occur (non-contract compliance)</li> <li>• Section 889 Non-Compliance Reported</li> <li>• Chemical Regulatory violation (SCA identified &amp; managed) where supplier regulatory non-compliance or negligence impacts Intel’s production, worker health/safety, and/or brand reputation and is not captured elsewhere in the SRC</li> <li>• Other egregious issues as identified</li> </ul>	<p>-5 = 1 or more issues in 1 Risk Domain                      -10 = 1 or more issues in Multiple Risk Domains</p>
--------------------------------	--	--

\* Intel must be able to see the self-assessment or audit in the RBA systems (as applicable) before it will count as complete.

\*\*<180 score (out of 200) QR have **ANY** Priority, Egregious, Legal, ANY **A1** findings (Freely Chosen Employment), ANY **A2** findings (Child Labor) or Major A3.2 (Days of Rest). Tier 1 (Suppliers of Intel) suppliers who are required to drive tier 2 (suppliers or sub-contractors of tier 1 suppliers) supplier audits and findings closure will be held to the same scoring standards for their tier 2 supplier. *Note: Final threshold Contingent on RBA Scoring Methodology in 2022. Intel reserves the right to adjust the score requirement pending changes planned by the RBA.*

**Additional Scoring Detail:**

Performance Category / Max Points	Point Measured	Elements Included	Resource for Details (Links go to relevant web page)	Additional Information
<p><b>On-Time Performance</b>  <b>2 points</b></p>	<p>All items are tracked for completion relative to communicated due date.</p>	<p>CDP Questionnaires</p>	<p><a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Environmental Footprint)</p>	<p>PAS % measurement:  Required elements <b>submitted on-time in that</b></p>
		<p>RBA Self-Assessments</p>	<p><a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Code of Conduct)</p>	
		<p>RBA Initial Audits</p>	<p><a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Code of Conduct)</p>	
		<p>PCDC Tool Submission</p>	<p><a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a></p>	

INTEL SUPPLY CHAIN ASSURANCE/SPARC SRC DETAIL

	<p>Aggregated Calculation of all relevant elements included:                  ≥90% PAS = 2 points                  ≥70%-89% PAS = 1 point                  &lt;70% = 0 points</p> <p>Suppliers receive default score of 2 points if supplier has no requirements in that quarter.</p>		(Responsible Chemical Management)	<p><b>quarter /</b>                  required elements due in that quarter</p>
		Chemical Regulatory Surveys for Ramp Readiness	EHS Specification: <b>M 07-65-0031-019 Rev:6</b> Specification is currently available in SPEED (accessible via <a href="http://supplier.intel.com">supplier.intel.com</a> after log in)	
		PFAS Contingency Plan submission	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Responsible Chemical Management)	
		Substances in Articles Plan submission	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Responsible Chemical Management)	
		Tier 1 <b>Security</b> Risk Assessments	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	
		ODC Security Audit Completed by due date	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	
		"High" or "Very High" Risk Security Audit or Assessment Findings	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	
<p><b>Meeting Intel Standards</b>   <b>6 points</b></p>	<p>All suppliers are considered meeting standards (6 points) unless one of these issues is identified.</p>	Supplier Deliverables in Security Audit/Assessment not meeting quality standard by due date	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	Findings ratings are provided through OneTrust System.
		Average Security Scorecard Score of "D" or worse	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	Quality Standard: All questions answered and all required documentation provided.
		RBA VAP/SVAP/Target Audit score <180* (Note:	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Code of Conduct)	Assessed by last business day of the of

	No Non-compliance issues = 6 points	Final threshold Contingent on RBA's Scoring Methodology in 2022)	<a href="https://www.responsiblebusiness.org/code-of-conduct/">https://www.responsiblebusiness.org/code-of-conduct/</a>	Quarter Snapshot of the 30-day moving average (as provided by Security Scorecard)
	One Non-Compliance issue (from this list) = 3 points  >1 Non-Compliance issue (from this list) = 0 points  Reduction in Scoring will be sustained each quarter until supplier returns to conformance (or when window for mitigation is closed – example: Annual CDP questionnaire window closes)	RBA Self-Assessment or Audit not completed by 1 Qtr of after due date	<a href="http://Supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Code of Conduct)	Audit is not considered complete until the Final Audit Report is shared with Intel.  * <180 score (out of 200) per RBA Scoring Methodology <u>OR</u> have <b>ANY</b> Priority, Egregious, Legal, ANY <b>A1</b> findings (Freely Chosen Employment), ANY <b>A2</b> findings (Child Labor) or Major <b>A3.2</b> (Days of Rest).  Tier 1 (Direct to Intel) suppliers who are required to drive Tier 2 (Supplier for Intel Direct Supplier, including sub-contractors.) supplier audits and findings closure will be held to

				the same scoring standards for their Tier 2 supplier.
		Responsible Minerals Sourcing/Reporting Non-Compliance	<a href="https://supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Responsible Minerals)  <a href="#">Environmental Product Content Specification 18-2101</a>	RBA Self-Assessments and VAP/SVAP Final Audit Report must be shared with Intel via the RBA system to be considered complete.
		Supplier Deliverables in Contract Financial Terms/MFC Assessment process (start to finish) not completed in <6 months	<a href="https://supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Contract Compliance / Most Favored Customer)	
		CDP Questionnaire does not meet standards, including questionnaire made public	<a href="https://supplier.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Environmental Footprint)	
		Chemical Regulatory Documentation not meeting minimum standards	EHS Specification: <b>M 07-65-0031-019 Rev:6</b> Specification is currently available in SPEED (accessible via supplier.intel.com after log in)  <a href="https://www.intel.com/content/www/us/en/supplier/ehs/supplier-chemical-substance-regulatory-requirement.html">https://www.intel.com/content/www/us/en/supplier/ehs/supplier-chemical-substance-regulatory-requirement.html</a>	

			<a href="https://www.intel.com/content/www/us/en/supplier/ehs/material-ehs-supplier-expectations-training.html">https://www.intel.com/content/www/us/en/supplier/ehs/material-ehs-supplier-expectations-training.html</a>	
		Closure of action items from ITERP by assigned deadline	Event Driven - Contact SCA Security Team if you are unclear on your open ARs and closure timelines.	Regulatory documentation must meet all the basic and specific local requirements for all selected Intel geographies
<b>Gap Closing Actions</b>  <b>2 points</b>	Applies where additional follow up/closure activities are required. If none of these actions are required, then default is 2 points.  When applicable: All items "Closed" or "On-Track" = 2 points  One or more did not meet = 0 points	On-Time closure of Security remediation plans	<a href="https://www.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	Findings closure is managed through OneTrust System. <a href="#">Goto/OneTrust</a>
		RBA Closure Audits Scheduled On-Time	<a href="https://www.responsiblebusiness.org/code-of-conduct/">Supplier.intel.com/SPARC</a> (Code of Conduct)  <a href="https://www.responsiblebusiness.org/code-of-conduct/">https://www.responsiblebusiness.org/code-of-conduct/</a>  <a href="https://www.responsiblebusiness.org/vap/about-vap/">https://www.responsiblebusiness.org/vap/about-vap/</a>	Findings closure is managed through OneTrust System. <a href="#">Goto/OneTrust</a>
		FMW Fee Repayment to workers completed by Agreed Due Date (if outside of RBA closure process)	<a href="https://www.intel.com/SPARC">Supplier.intel.com/SPARC</a> (Code of Conduct)  For information on Intel expectations related to Fee Repayment: <a href="https://www.responsiblebusiness.org/code-of-conduct/">https://www.responsiblebusiness.org/code-of-conduct/</a>	Intel will also send an expectations e-mail outlining closure audit expectations when Initial RBA audit identifies findings that require a closure audit.

			<a href="https://www.responsiblebusiness.org/media/docs/RBADefinitionofFeesJan2021.pdf">https://www.responsiblebusiness.org/media/docs/RBADefinitionofFeesJan2021.pdf</a>  <a href="https://www.intel.com/content/www/us/en/policy/policy-human-rights.html">https://www.intel.com/content/www/us/en/policy/policy-human-rights.html</a>  <a href="https://www.intel.com/content/www/us/en/corporate-responsibility/statement-combating-modern-slavery.html">https://www.intel.com/content/www/us/en/corporate-responsibility/statement-combating-modern-slavery.html</a>	
		Risk Mgmt & Ethics Maturity Improvement Plan On-Track vs. Forecast	Supplier Dependent - Please contact the Risk Management & Ethics Maturity Scorecard owner in SCA for more information.	Only applies where worker fees have been identified as part of an RBA assessment of a supplier (Tier 1 or Tier 2) and where repayment to workers is required.
		Prompt ITERP self-reporting did not occur (non-contract compliance)	<a href="#">Supplier Compliance Handbook</a> Intel Security Addendum (ISA) terms ODC ISA - Appendix B	Applies to only a subset of Construction & Facilities Suppliers
<b>High Risk Issue Penalty</b>	Applies to score only if one of these High-Risk Issues occur.	Section 889 Non-Compliance Reported	<a href="https://www.acquisition.gov/Supplier.intel.com/SPARC">https://www.acquisition.gov/Supplier.intel.com/SPARC</a> (Supply Chain Security & Privacy)	
		Chem regulatory violation (SCA identified & managed) where supplier regulatory non-	n/a	

	-5 = 1 or more issues in 1 Risk Domain	compliance or negligence impacts Intel's production, worker health/safety, and/or brand reputation and is not captured elsewhere in the SRC		
	-10 = 1 or more issues in Multiple Risk Domains			
		Other egregious issues as identified	n/a	RARE Use – Suppliers who maintain compliance to the law should not see an impact to their score related to this issue.
				RARE use - Only where egregious concern identified, impacting Intel's legal compliance, brand/reputation or business operations and is not captured elsewhere in the SRC.

**Acronyms:**

CDP = 3<sup>rd</sup> party organization who facilitates standardized collection of carbon and water use data (for more info: [cdp.net](https://www.cdp.net))

ODC = Off-Shore Development Center

ITERP = Information Technology Emergency Response Process

PCDC = Process Chemicals Data Collection

PFAS = Per- and polyfluoroalkyl substances

RBA = Responsible Business Alliance

SCA = Supply Chain Assurance (Organization within Intel's Manufacturing & Supply Chain Operations)

SRC = Supplier Report Card

Other Definitions (Please see respective reference links for additional information on any of the items):

Tier 1 (Security) = Suppliers with the highest level of Security Risk as defined by the Security Tiering Model

Tier 1 (RBA context) = Direct suppliers to Intel

Risk Domain = Overall area of risk (Supplier Security & Privacy, Code of Conduct, Responsible Minerals, etc.)